

01/19/01
jc997 U.S. PTO

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Attorney Docket No. 0980/63993

Total Pages 3

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application

Washington, D. C. 20231

jc872 U.S. PTO

09/765544

01/19/01

Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) are the specification and claims of the nonprovisional patent application of:

Hwan JEONG and Xing CHENG

Inventor(s)

for

OPTICAL INTERLEAVING WITH ENHANCED SPECTRAL
RESPONSE AND REDUCED POLARIZATION SENSITIVITY

Title of Invention

APPLICATION ELEMENTS ENCLOSED:

1. ☒ Specification (total pages 30 including:
 - a. 9 pages of claims (47 claims)
 - b. 1 page(s) Abstract
2. ☒ 10 sheets of informal ☒ formal drawings (Figs. 1-11)
3. ☒ Oath or declaration of Applicant(s) (3 total pages)
 - a. ☒ Newly executed (original)
 - b. Unexecuted
 - c. Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Item 14 completed)
4. Deletion of Inventor(s)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
5. Incorporation By Reference
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied in item 3b is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. Microfiche Computer Program (Appendix)

APPLICATION PARTS ENCLOSED:

7. ☒ An assignment of the invention to Gazillion Bits, Inc. (cover sheet & document(s))
8. 37 CFR 3.73(b) Statement (when there is an assignee)
9. ☒ Power of Attorney
 - a. ☒ Newly executed (original)
 - b. Unexecuted
 - c. Copy from a prior application

X Copies of IDS Citations

12. X Return Receipt Postcard (MPEP 503)

____ Statement filed in prior application, status still proper and desired

☐ Continuation of prior application No.: _____ filed _____

☐ Divisional of prior application No.: _____ filed _____

☐ Continuation-in-part (CIP) of prior application No.: _____ filed _____

16.____ Priority of application No. _____ filed in _____ on _____. Applicant hereby claims priority under 35 U.S.C. § 119.

_____ A certified copy of priority application No. _____ is enclosed

_____ A certified copy of priority application No. _____ has been filed in prior application
S.N. _____ filed _____

17. Other (identify) _____

The filing fee is calculated as follows:

CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT

					Rate			Fee	
	Number Filed		Number Extra*		Small Entity	Other Entity		Small Entity	Other Entity
Total Claims	47 - 20	=	27	x	\$ 9	\$ 18	=	\$ 0	\$ 486
Indep. Claims	5 - 3	=	2	x	\$ 40	\$ 80	=	\$ 0	\$ 160
Multiple Dependent Claims Presented: Yes _____ No <u>X</u>					\$135	\$270	=	\$ 0	\$ 0
If the difference in column 1 is less than zero, enter "0" in column 2					Basic Fee			\$ 355	\$ 710
					Total Fee			\$	\$ 1,356

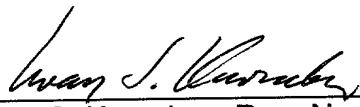
18. X A check in the amount of \$ 1,356.00 to cover the filing fee.
19. X A check in the amount of \$ 40.00 for recordation of the Assignment.
20. X The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the following or credit any overpayment to Deposit Account No. 03-3125.
- X Filing fees under 37 C.F.R. § 1.16.
- X Patent application processing fees under 37 C.F.R. §1.17.
- _____ The issue fee set in 37 C.F.R. §1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. §1.311(b).
21. X Three copies of this sheet are enclosed.
22. _____ Other (identify) _____

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Respectfully submitted,

January 19, 2001
Date


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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of : Hwan JEONG, et al.

Serial No. : Group Art Unit:

Date Filed : Concurrently Herewith Examiner:

For : OPTICAL INTERLEAVING WITH ENHANCED SPECTRAL
RESPONSE AND REDUCED POLARIZATION SENSITIVITY

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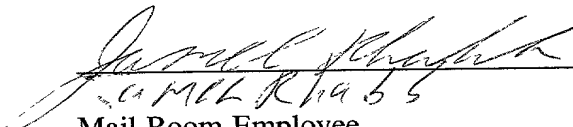
Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

EXPRESS MAIL
LETTER OF TRANSMITTAL

Express Mail mailing label number: EL628788933US

Date of Deposit: January 19, 2001

I hereby certify that the above-identified application (consisting of a 30-page specification (including 9 pages of claims (47 claims) and a 1 page Abstract), and 10 sheets of formal drawings (Figs. 1-11)), 3 copies of an Application Transmittal Form, executed Declaration and Power of Attorney, executed Assignment form and attached form PTO-1595 Recordation Cover Sheet and a ck. for \$40 recordation fee, Information Disclosure Statement, Form PTO-1449 and copies of the cited information and a check for the \$1,356 filing fee, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.


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